

STATE OF ILLINOIS
INTERNAL AUDIT ADVISORY BOARD

Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

MINUTES

SIAAB Fall Government Auditing Conference on October 23-24, 2018
SIAAB Roundtables on October 25, 2018

ROLL CALL

Members Present/Location:

Leighann Manning (Chair), Office of the Treasurer (October 26, 2017 only) - Springfield
Jamie Nardulli (Vice Chair), Department of Healthcare and Family Services - Springfield
Natalie Covello, Department of Commerce and Economic Opportunity - Springfield
Rex Crossland, Department of Employment Security (Springfield)
Amy DeWeese, Department of Human Services - Springfield
Brent Nolen, Illinois State Police - Springfield
Jack Rakers, Central Management Services - Springfield
Gary Shadid, Office of the Comptroller - Springfield
Julie Zemaitis, University of Illinois – Springfield
Jay Wagner, Office of the Attorney General – Springfield

Absent

Stell Mallios, Office of the Secretary of State

MINUTES

The SIAAB Fall Government Auditing Conference was held on October 23-24, 2018 and the SIAAB Roundtables were held on October 25, 2018. Both were held at the Northfield Center, 3280 Northfield Drive, Springfield, IL. The Conference was scheduled, in accordance with the Fiscal Control and Internal Auditing Act requirements, to provide internal audit training only and accordingly, there were no Board actions taken, nor were there any motions taken or voted upon during the training event. 164 people attended at least one day of the Conference and 110 people attended a Roundtable.

OCTOBER 23, 2018

Registration for the Conference began at 7:45 am. Opening remarks were made at 8:30 am by Mrs. Leighann Manning, SIAAB Chair. The following presentations were made:

- The Ethics Act's Revolving Door Prohibition was presented by Chad Fornoff, Director, Executive Ethics Commission (EEC)
- Legislative Audit Commission (LAC) by Jane Stricklin, Executive Director of the LAC

- Internal Controls for Vendor Management & Procurement by Ron Steinkamp, CPA, CIA, CFE, CRMA, CGMA, CCA, CCP, Partner, Advisory/Public Sector, Brown Smith Wallace, LLP
- Preventing Ethical Lapses in State Government, by Diane Saltoun, Executive Inspector General and Patricia McConnell, Director of Investigations, Executive Inspector General for the Illinois Attorney General
- Blockchain: An Introduction and Potential Use Cases, by Sean T. O’Kelly, Chief Information Officer, Department of Financial and Professional Regulation
- Artificial Intelligence (AI) – Strategy and Roadmap for Illinois, by Krishna V. Iyer, Chief Data Scientist Department of Innovation and Technology (DoIT)

A University Auditors’ Roundtable Discussion, moderated by Julie Zemaitis, Executive Director of University Audits, ran concurrently with the final two presentations of the day (Blockchain and AI)

The first day of the Conference adjourned at 4:20 pm.

OCTOBER 24, 2018

Registration for the 2nd day of the Conference began at 7:45 am. Opening remarks were made at 8:30 am by Mr. Jay Wagner, SIAAB Conference Coordinator.

The following presentations were made by Mr. Dennis Dycus, CPA, CFE, CGFM:

- Why Auditors Fail to Detect Fraud
- Evaluating Internal Controls
- Asset Misappropriation by Government Employees Mr. Dennis Dycus, CPA, CFE, CGFM
- Walkin’, Talkin’, Lookin’, and Listenin’ (or, Things Most Auditors Don’t Do Very Well)

The second and final day of the Conference adjourned at 4:20 pm.

OCTOBER 25, 2018

Registration for the Roundtables began at 7:45 am.

Concurrently the following roundtable events were held:

- Chief Internal Auditor Roundtable, moderated by Leighann Manning (SIAAB Chair), Chief Internal Auditor for the Illinois Treasurer’s Office.
- IT Auditor Roundtable, moderated primarily by Doug Tinch, Chief Internal Auditor for the Department of Innovation and Technology (it was moderated for the first hour by Jay Wagner, Chief Internal Auditor for the Illinois Attorney General while Mr. Tinch answered questions in the CIA Roundtable).
- Staff Auditor Roundtable, moderated by former Chief Internal Auditor Tad Huskey, Chief Fiscal Officer for the Office of the Attorney General and Sally Burton, Internal Auditor for the Illinois State Board of Education.

The Roundtables adjourned at 11:50 am.